Mutually unbiased bases for continuous variables

Stefan Weigert\(^1\) and Michael Wilkinson\(^2\)

\(^1\)Department of Mathematics, University of York, Heslington, York YO10 5DD, England
\(^2\)Department of Mathematics and Statistics, The Open University, Walton Hall, Milton Keynes, MK7 6AA, England

(Received 2 February 2008; published 29 August 2008)

The concept of mutually unbiased bases is studied for \(N\) pairs of continuous variables. To find mutually unbiased bases reduces, for specific states related to the Heisenberg-Weyl group, to a problem of symplectic geometry. Given a single pair of continuous variables, three mutually unbiased bases are identified while five such bases are exhibited for two pairs of continuous variables. For \(N=2\), the golden ratio occurs in the definition of these mutually unbiased bases suggesting the relevance of number theory not only in the finite-dimensional setting.

DOI: 10.1103/PhysRevA.78.020303 PACS number(s): 03.65.Ca, 03.65.Ta, 42.50.Dv

Mutually unbiased (MU) bases of Hilbert spaces with finite dimension \(d\) [as defined by Eq. (1) below] are a useful tool. If you want to experimentally determine the state of a quantum system, given only a limited supply of identical copies, the optimal strategy is to perform measurements with respect to MU bases [1]. To pass a secret message to a second party, you could use quantum cryptography to establish a shared key, a procedure which relies on MU bases in the space \(\mathbb{C}^2\) or \(\mathbb{C}^d\) [4]. Sending a physical system carrying a spin through a noisy environment, the effect of the interactions on the state of the spin might be modeled by a specific quantum channel, conveniently described in terms of MU bases [5]. Finally, if you happen to be captured by a mean king, you might be able to meet his challenge by knowing about entangled states and MU bases [6,7].

Many of the ideas which underlie physical concepts defined for discrete variables, that is, in a Hilbert space of finite dimension, survive the transition from spin operators to position and momentum operators. Quantum key distribution [8] and quantum teleportation [9], for example, possess counterparts for continuous variables [10] which act on an infinite-dimensional Hilbert space. It is thus natural to inquire into MU bases for continuous variables which, in fact, naturally occur in Feynman’s path integral formulation of quantum mechanics [11]. The properties of MU bases in an infinite-dimensional space might also provide new insights into the existence of complete sets of MU bases in spaces of finite dimension not equal to the power of a prime.

Let us recall the definition of MU bases in \(\mathbb{C}^d\) and some of their properties. Two orthonormal bases \(B_\psi = \{ |q_j^{(\psi)}\rangle \}_{j=1,\ldots,d} \) and \(B_\chi = \{ |q_j^{(\chi)}\rangle \}_{j=1,\ldots,d} \) are called MU if

\[
|\langle q_j^{(\psi)} | q_j^{(\chi)} \rangle | = \begin{cases} 0 & \text{if } b \neq b' \\ \kappa > 0 & \text{if } b = b' \end{cases}
\]

where \(\kappa \neq 0\) and \(b, b' \in \mathbb{R}\) are real numbers.

Since each state of one basis gives rise to the same probability distribution when measured with respect to the other basis, the value of the overlap \(\kappa\) is not arbitrary but one derives from Eq. (1) that \(\kappa = 1/\sqrt{d}\) by using the completeness of the bases \(B_\psi\), say.

Schwinger [12] describes how to construct two MU bases from any orthonormal basis of \(\mathbb{C}^d\). They are found to be the eigenbases of two operators \(\hat{U}\) and \(\hat{V}\) each shifting cyclically the elements of the other basis. These operators satisfy commutation relations of Heisenberg-Weyl type, \(\hat{U}\hat{\chi} = e^{i/d\hat{\chi}}\hat{U}\), describing finite translations in a discrete phase space [13]. This approach has been generalized in [14], where it is shown that if one finds \(n\) unitaries then these \(n\) bases are MU.

The number of MU bases in \(\mathbb{C}^4\) is limited to \(d+1\). Such complete sets of MU bases were constructed first in the case of \(d=1\), that is, in a Hilbert space of finite dimension not equal to the power of a prime [1]. For composite dimension \(d = d_1 d_2 \cdots d_k\), the factors being (powers of) different primes, it is currently unknown whether complete sets of MU bases exist [16]. Interestingly, composite dimensions are rare for small values of \(d\) but predominant for large \(d\). While it is possible to construct three MU bases for any \(d \geq 2\), numerical evidence for \(d=6\) (the smallest composite integer) suggests that no four MU bases exist [17] and that many of their subsets are missing as well [18].

Let us now turn to continuous variables \(\hat{p}\) and \(\hat{q}\), with \([\hat{q}, \hat{p}] = i\hbar\), acting on the Hilbert space \(\mathcal{L}_2(\mathbb{R})\) of square-integrable functions on the real line. The (generalized) eigenstates of position and momentum \(|q\rangle, q \in \mathbb{R}\) and \(|p\rangle, p \in \mathbb{R}\), respectively, are known to satisfy

\[
|q\rangle = \frac{1}{\sqrt{2\pi\hbar}} e^{iqx\hbar}.
\]

Thus, a natural generalization of Eq. (1) for bases \(\{ |q_j^{(\psi)}\rangle \}_{j \in \mathbb{R}}\) of an infinite-dimensional Hilbert space takes the form

\[
|\langle q_j^{(\psi)} | q_j^{(\chi)} \rangle | = \begin{cases} \delta(s - s') & \text{if } b = b' \\ k > 0 & \text{if } b \neq b' \end{cases}
\]

where the \(\delta\) normalization of the states reflects the fact that the labels \(s, s'\) are continuous. Consequently, the eigenstates of the position and momentum operators provide an example of MU bases with \(k = 1/\sqrt{2\pi\hbar}\). The appearance of generalized eigenstates is inevitable, because no normalizable state exists which has a nonzero overlap with all elements of a countable orthonormal basis.

Is it possible to find three or more MU bases for one pair of continuous variables? The momentum basis \(B_p\) results from a rotation of the position basis \(B_q\) by an angle \(\pi/2\). Thus, a third MU basis might be given by \(B_\theta = \{ |q_\theta\rangle \}_{\theta \in \mathbb{R}}\), where

\[
\theta = \theta_0 + \pi/4, \quad \theta_0 \in \mathbb{R}
\]
the eigenbasis of the operator \( \hat{q} = \hat{q} \cos \theta + \hat{p} \sin \theta \) with eigenvalue \( q_\theta, \theta \in (0, \pi/2) \). Using Wigner functions, one finds that the modulus of the overlap between states of \( B_q \) and \( B_\theta \) is
\[
|\langle q|q\rangle|^2 = \frac{1}{2\pi |\sin \theta|} \neq \frac{1}{2\pi},
\]
so that the triple \( B_q, B_\theta, \) and \( B_{q\theta} \) is MU with overlap \( 1/\sqrt{\pi\hbar/3} \) in (3). Comparing this result with Eq. (2), we realize that, for continuous variables, the constant \( k \) in Eq. (3) may take different values for different MU bases.

In spite of Eq. (4), it is possible to complement \( B_q \) and \( B_\theta \) with a third basis resulting in an asymmetric triple of MU bases. Consisting \( B_{q\theta\varphi} \) of the eigenstates of the operator \( \hat{q} - \hat{p} = \sqrt{2}\hat{q}_{\varphi=1} \), which cannot be obtained from \( \hat{q} \) by a rotation due to the factor \( \sqrt{2} \). Nevertheless, one finds (as stated in [19]) that
\[
|\langle q|p\rangle|^2 = |\langle p|q\rangle|^2 = |\langle p|p\rangle|^2 = \frac{1}{2\pi \hbar},
\]
providing us with an asymmetric triple of MU bases.

We now develop a systematic approach to MU bases for \( N \) pairs of continuous variables residing in product states. For \( N=1 \), we will be able to explain the observations above. For \( N \geq 2 \), we will derive geometric conditions which express whether product-state bases are MU or not. A set of \( N \) MU bases will be found explicitly for two continuous variables. Subsequently, we will formulate conditions to be MU for bases which do not have to consist of product states only.

The Heisenberg-Weyl operator
\[
\hat{T}(\alpha) = \exp[i(P\hat{q} - Q\hat{p})/\hbar],
\]
which translates the position of a wave function by \( P \) and boosts its momentum by \( Q \), will play a central role. We consider the generator \( \hat{x}_a \) of an infinitesimal translation in the direction \( a^\prime = (Q,P) \), using the notation
\[
\hat{x}_a = P\hat{q} - Q\hat{p} = a^\prime \cdot \hat{x} \quad \text{with} \quad j = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix},
\]
where \( \hat{x} = (\hat{q}^\prime, \hat{p}^\prime)^\prime \). Denote the eigenstates of \( \hat{x}_a \) by \( |a,\alpha\rangle \), where \( a \) identifies a particular family of states and \( \alpha \) labels an element of this family. They satisfy
\[
\hat{x}_a |a,\alpha\rangle = \alpha |a,\alpha\rangle, \quad \alpha \in \mathbb{R},
\]
forming complete and \( \delta \)-orthonormal families of states \( B_a \). Their position representations are given by
\[
|\langle q|a,\alpha\rangle|^2 = \frac{1}{2\pi |\sin \alpha|} = \frac{1}{2\pi},
\]
vector $d$ of symplectic product $k$ with $a$, $b$, and $c$ satisfying these conditions. This does not exclude, however, the existence of four or more MU bases built from an entirely different set of states.

Working out the unsigned symplectic product of the vectors $(0, -1), (1, 0), \text{and} (1, 1)$ leads to $k=1$, correctly reproducing the asymmetric solution presented in Eq. (6). Similarly, the set of unit vectors $(0, -1)$ and $(\pm \sqrt{2}/2, 1)$, which is invariant under threefold rotations, describes the symmetric configuration (5), with $k=\sqrt{2}/2$. These apparently different solutions are, in fact, closely related. Consider all real $2 \times 2$ matrices $m$ with unit determinant which, under conjugation, leave the matrix $j$ invariant up to a sign,

$$m' \cdot j \cdot m = \pm j. \quad (17)$$

We will call these matrices unsigned symplectic. They clearly form a group which consists of the union of all real symplectic $2 \times 2$ matrices, denoted by $Sp(1, R)$, and all these matrices multiplied by the matrix $j$ in Eq. (8) (which is not symplectic but) satisfies Eq. (17) with the minus sign. Due to Eq. (17), symplectic products $a' \cdot j \cdot b$ remain invariant up to a sign under transformations of the form $a = m \cdot a$. Using unsigned symplectic transformations, it becomes possible to map the triple of vectors $(0, -1), (1, 0)$, and $(1, 1)$ into a configuration with threefold rotational symmetry which is equivalent to the three MU bases in Eq. (5), up to a nonunitary scaling transformation as described after Eq. (16).

$N=2$. MU bases correspond to sets of product vectors $\tilde{a} = a_1 \otimes a_2, \; \tilde{b} = b_1 \otimes b_2 \ldots$, with equal unsigned symplectic products. We now exhibit five vectors which satisfy Eq. (16) with $K=1$, namely,

$$(1 \otimes 0) \otimes (0 \otimes 1), \; (1 \otimes 1) \otimes (0 \otimes 1), \; (1 \otimes 0) \otimes (1 \otimes 1), \; \left(1 \begin{array}{c} 1 \\ 1-R \end{array} \right) \otimes \left(1 \begin{array}{c} 1 \\ R \end{array} \right), \; \left(1 \begin{array}{c} 1 \\ 2-2R \end{array} \right) \otimes \left(1 \begin{array}{c} 1 \\ 1+R \end{array} \right). \quad (18)$$

Here the number $R$ is the golden ratio, i.e., the positive solution of $R^2 = R + 1$. Each coefficient of the five vectors is a sum of integer multiples of the numbers 1 and $R$. Hence, we find that the coefficients are elements of a number field which by a quadratic extension of the integers (just as the field of complex numbers is an extension of the real numbers where $i$, the solution of $x^2 + 1 = 0$, plays the same role as $R$). Thus the link between MU bases and number theory which pervades the finite-dimensional case (surveyed in, e.g., [21]) also exists for continuous variables. Interestingly, MU bases for multiple qubits [22] or qudits [23, 24] must contain entangled states, contrary to what we find here.

In a second step, we construct MU bases for $N$ continuous variables from states not limited to the tensor products (12). To do so we introduce metaplectic operators which represent linear canonical phase space transformations in Hilbert space. Explicitly, consider the transformation $A' = M \cdot A$, with $A = (q_1, \ldots, p_N) = (q, p) \in \mathbb{R}^{2N}$ and $M$ being a symplectic matrix of size $2N \times 2N$. Then there is a unitary operator $\hat{U}_M$ such that the translation operators $\hat{T}(A)$—each a product of $N$ operators of the form (7)—transform according to

$$\hat{U}_M \hat{T}(A) = \hat{T}(M \cdot A) \hat{U}_M. \quad (19)$$

defining the metaplectic $\hat{U}_M$. If symplectic transformations are composed, $M = M' \cdot M''$, then the corresponding metaplectic operators are composed in the same manner: $\hat{U}_M = \hat{U}_{M''} \hat{U}_{M'}$.

The use of metaplectic operators has been implicit in our earlier discussion where we obtained a set of states $|a,\alpha\rangle$, satisfying Eq. (9), which are MU with respect to the position eigenstates $|q\rangle$. We now show that these states can be obtained directly by application of a metaplectic operator. Expand Eq. (19) in $A$ and consider the linear term to obtain

$$\hat{U}_M \hat{\chi}_A = \hat{\chi}_{MA} \hat{U}_M.$$  

First, let $N=1$ and choose the symplectic matrix $m$ such that $m \cdot a = (0, 1)^t$, so $\hat{\chi}_a = \hat{\psi}_a$. The eigenfunctions of $\hat{\chi}_a$ in Eq. (9) are then generated by $|a,\alpha\rangle = \hat{U}_a |q\rangle$. The symplectic matrix satisfying $m \cdot (Q, P)^t = (0, 1)^t$ is

$$m = \left( \begin{array}{cc} 1 & 0 \\ \mu & 1 \end{array} \right), \quad (0, 1)^t.$$  

where $\mu \in \mathbb{R}$ parametrizes a shear along the line defining the states $|a,\alpha\rangle$. It affects the phase of $\langle q | a, \alpha \rangle$, but not its magnitude. 

In order to discuss a more general construction of MU bases (with $N \geq 1$) we use a general expression [25] for a metaplectic operator which corresponds to a symplectic matrix $M$ of dimension $2N$,

$$\hat{U}_M = \frac{\exp(i\Theta)}{\sqrt{|\det(M - I)|}} \int dA \exp \frac{1}{2\hbar} A' \cdot \mathbf{N} \cdot A \hat{T}(A). \quad (21)$$

Here $\Theta$ is a phase which need not concern us further, $N = \frac{1}{2}(M+1)(M-1)^{-1}$ is a symmetric matrix, $J = J \otimes \cdots \otimes J$ a block diagonal generalization of $j$ in Eq. (8), and the integration is over the $2N$ dimensions of phase space, $dA = dQ_1 \ldots dQ_N$. The matrices $M$ and $N$ may be written using blocks of dimension $N \times N$,

$$(q') \equiv \left( \begin{array}{c} q_{p} \\ M_{pq} M_{qp} \end{array} \right), \quad p' = \left( \begin{array}{c} N_{pq} N_{qp} \end{array} \right). \quad (22)$$

Consider the action of $\hat{U}_M$ on $N$-fold products of position eigenstates, $|q_1 \otimes \cdots \otimes q_N\rangle$. Using Eqs. (21) and (22), we find that states $\hat{U}_M |q_1 \otimes \cdots \otimes q_N\rangle = |M \cdot q_1 \otimes \cdots \otimes M \cdot q_N\rangle$ are unbiased relative to the position eigenstates, i.e.,

$$|\langle q' | M \cdot q \rangle|^2 = \frac{1}{(2\pi \hbar)^N |\det(M - I) \det(N_{pp})|}. \quad (23)$$

It follows from the composition property of metaplectic matrices that states $|M \cdot q\rangle$ with different $M$ are MU, and that the magnitude of their overlap can be calculated by composing the underlying symplectic matrices as follows:

$$|\langle M \cdot q | M' \cdot q' \rangle|^2 = |\langle q \hat{U}_{M'}^\dagger \hat{U}_M | q' \rangle|^2 = |\langle q | (M^{-1} M') \cdot q' \rangle|^2, \quad (24)$$

where the final expression is evaluated using Eq. (23). Thus, the problem of finding MU bases associated with metaplectic
operators can be solved by finding symplectic transformations such that the resulting expressions on the right-hand side of Eq. (23) take the same values. This may allow for a much larger set of MU bases than Eq. (16).

Our principal results are conditions for bases related by a metaplectic transformation to be MU, namely, Eq. (16) (for which we found a solution (18)) and more generally Eq. (24) (as yet unexplored). To conclude we point out open questions. Even in the case of $N=1$, it is not known whether more than three MU bases exist. To have only three MU bases would be slightly surprising as the limit of $d \to \infty$ passing through prime dimensions suggests the existence of an uncountable number of MU bases. The result (4) confirms this expectation in a restricted sense—any pair of bases $B_{\psi}$ and $B_{\psi'}$ is MU but with possibly different values for the overlap. Future studies will reveal whether the pairwise unbiased bases $B_{\psi}, \theta \in (0, \pi/2)$ are as useful as a complete set of MU bases.

It is also unknown whether the bases $B_{\eta}$ and $B_{\psi}$ can be supplemented by a third MU basis qualitatively different from the one presented in Eq. (6). Let the state $|\psi\rangle$ be a member of such a basis. The conditions $|\langle q | \psi \rangle| = |\langle p | \psi \rangle| = 1/\sqrt{2\pi\hbar}$ imply that its expansion coefficients in the position and momentum basis are constant multiples of phase factors $\exp(i q f)$ and $\exp(i g p)$, respectively, related to each other by a Fourier transform,

$$e^{igp} = \frac{1}{\sqrt{2\pi\hbar}} \int_{-\infty}^{\infty} e^{if(q)} e^{-ipq} dq.$$  

(25)

Thus, if the only pairs of functions $[f(q), g(p)]$ solving this integral equation consist of quadratic polynomials, then there are no MU bases beyond the ones exhibited so far. Unfortunately, the entire set of its solutions is not known to us.

We thank Tony Sudbery for his comments and the London Mathematical Society for financial support through a Scheme 4 Grant (Ref. 4625).